

06 June 01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Fuhr et al.  
 Serial No. : 09/720,275  
 Filed : December 21, 2000  
 For : ELECTRODE ARRANGEMENT FOR GENERATING FUNCTIONAL  
 FIELD BARRIERS IN MICROSYSTEMS

LETTER

EXPRESS MAIL LABEL NO. EF321690335US

Assistant Commissioner for Patents  
 BOX PCT  
 Washington, D.C. 20231

Attention: PCT Legal

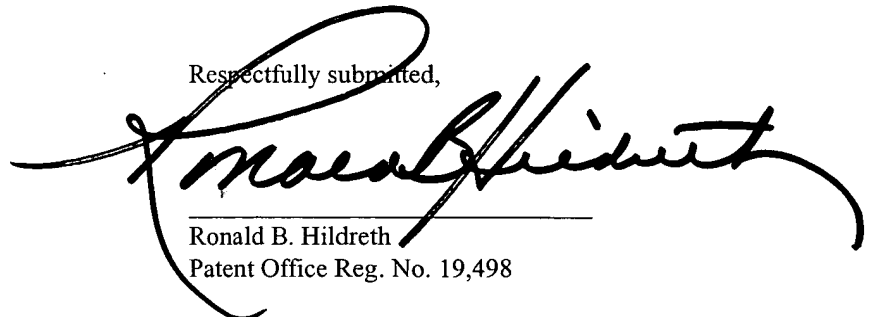
Sir:

On February 22, 2001, a Response to Notice of Missing Requirements Under U.S.C. 371 was filed in the United States Designated/Elected Office 9DO/EO/US).

In the heading of the response, there is a typographical error in the Serial No. of the application, "Serial No. 09/720,141" should read --Serial No. 09/720,275-- a copy of the paper as filed is enclosed.

We request that the papers which include the transmittal letter, declaration, form PCT/DO/EO/905 as well as our check in the amount of \$130 be transferred to the correct application Serial No. 09/720,275.

Respectfully submitted,

  
 Ronald B. Hildreth  
 Patent Office Reg. No. 19,498

(212) 408-2544  
 Attorney for Applicants

Baker Botts L.L.P.  
 30 Rockefeller Plaza  
 New York NY 10112

RESPONSE - NOTICE  
OF MISSING PARTS

PATENT

A33828 PCT USA

which the inventor executed by signing the oath or declaration.

Also enclosed are:

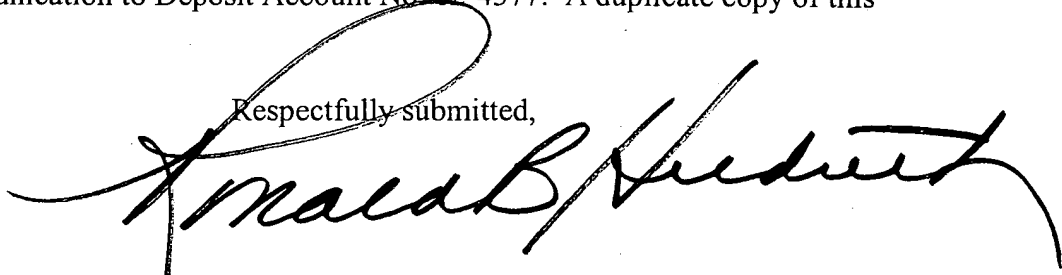
1. (x) A check in the amount of \$ 130.00  
(x) \$130 - Other than Small Entity  
( ) \$ 65 - Small Entity  
representing payment of the surcharge  
due for late filing of the Declaration  
pursuant to 37 C.F.R. 1.492(e);
2. ( ) An extension of time to file the Response  
to Missing Parts is respectfully requested.  
The required fee, calculated pursuant to  
1.136(a), is enclosed in the amount of \$ \_\_\_\_\_
3. ( ) Other \_\_\_\_\_  
\$ \_\_\_\_\_

TOTAL FEE ENCLOSED \$ 130.00

Enclosed: Form PCT/DO/EO/905

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Ronald B. Hildreth  
Patent Office Reg. No. 19,498  
Attorney for Applicants  
(212) 408-2544

3828  
PCT/USA

*Please note:  
SP is wrong on  
Response mailed to PTO*

BAKER BOTTS L.L.P.

01 MAR -2 PM 12: 28

TO

JCO9 Rec'd PCT/PTO 22 FEB 2001

In re: Fuhr et al.  
(Applicant)

09/720,141

(Serial No.)

Response to

*09/720,275*

In re: Not. Missing Pts.  
(Title of Paper)

2/22/01

(Dated)

A33828 PCT USA

(File No.)

The stamp of the Patent Office Mail Room hereon  
acknowledges the receipt of the above-identified papers on the  
date indicated by such stamp.

Express Label No.: EF321690701US

Enclosed: 2 page transmittal, declaration, PCT/DO/EO/905,  
Check \$130

BOX PCT

9001  
ALLOWED

*2/22/01  
me*

PATENT

✓ A33828 PCT USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Fuhr et al.  
Serial No. : 09/720,141 - 09/720 275  
Filed : December 21, 2000  
For : ELECTRODE ARRANGEMENT FOR GENERATING  
FUNCTIONAL FIELD BARRIERS IN MICROSYSTEMS

RESPONSE TO NOTICE TO FILE MISSING  
REQUIREMENTS UNDER U.S.C. 371 IN THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

*Adm PCT 228*  
**EXPRESS MAIL LABEL NO. EF321690701US**

Assistant Commissioner of Patents  
Box PCT  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Requirements of Application Under U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated February 8, 2001, enclosed herewith is a Declaration referring to the above-identified application by one or more of the following in compliance with 37 U.S.C. 371:

- (x) Name of inventor and application serial number;
- (x) Name of inventor, attorney docket number which was on the application as filed, and filing date of the application;
- (x) Name of inventor, title of invention and filing date;
- ( ) Name of inventor, title of invention and reference to a specification which is attached to the oath or declaration at the time of execution and filed with the oath or declaration;
- ( ) Name of inventor, title of invention. As presently advised, the undersigned, a registered attorney, states that the application filed in the PTO is the application

which the inventor executed by signing the oath or declaration.

Also enclosed are:

1. (x) A check in the amount of \$ 130.00  
(x) \$130 - Other than Small Entity  
( ) \$ 65 - Small Entity  
representing payment of the surcharge  
due for late filing of the Declaration  
pursuant to 37 C.F.R. 1.492(e);
2. ( ) An extension of time to file the Response  
to Missing Parts is respectfully requested.  
The required fee, calculated pursuant to  
1.136(a), is enclosed in the amount of \$ \_\_\_\_\_
3. ( ) Other \_\_\_\_\_  
\$ \_\_\_\_\_

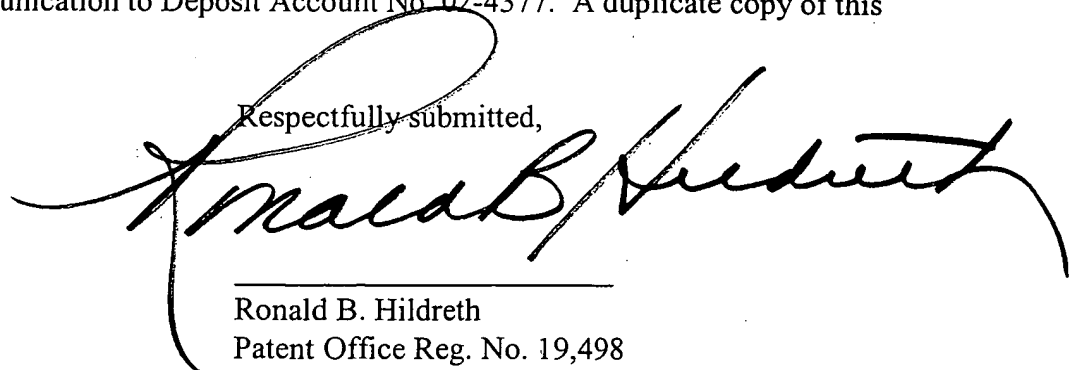
TOTAL FEE ENCLOSED

\$ 130.00

Enclosed: Form PCT/DO/EO/905

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Ronald B. Hildreth  
Patent Office Reg. No. 19,498  
Attorney for Applicants  
(212) 408-2544

09/720,275

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

09/720,275

FUHR

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INTERNATIONAL APPLICATION NO.

PCT/EP99/04470

I.A. FILING DATE

PRIORITY DATE

06/28/99

06/26/98

DATE MAILED:

02/08/01

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:  
☒ a non-English language.  
☐ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☒ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 21 Dec 00 and For

☐ Information Disclosure Statement(s) filed 21 Dec 00 and For

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

BAKER POTTS L.L.P.

01 FEB 12 AM 11:53

Docketed

318 /2001 By

8/8/01 MK

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917  
☐ PTO-875

☐ Notice of Defective Translation

FORM PCT/DO/EO/905 (December 1997)

Telephone: (703) 703-3734